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January 27, 2004

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number

First Named Inventor

Gerald R. Harris

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ADJUSTABLE SHUTTLE STOP APPARATUS

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

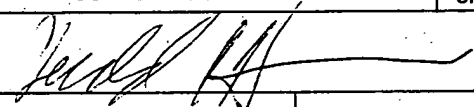
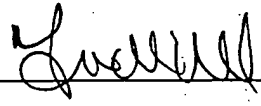
☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		24919		OR <input type="checkbox"/> Correspondence address below	
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR :				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Gerald R.		Family Name or Surname Harris	
Inventor's Signature 				Date 07-06-01	
Residence: City Pryor		State OK		Country USA Citizenship USA	
Mailing Address Post Office Box 1148					
City Pryor		State OK		ZIP 74362 Country USA	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Timothy M.		Family Name or Surname Neall	
Inventor's Signature 				Date 07 Jun 01	
Residence: City Pryor		State OK		Country USA Citizenship USA	
Mailing Address Route 3, #4 Sycamore Lane					
City Pryor		State OK		ZIP 74361 Country USA	
<input type="checkbox"/> Additional inventors are being named on the ____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 06, 2001

PTAS



101765270A

MCAFEE & TAFT
NEAL R. KENNEDY
10TH FLOOR, 2 LEADERSHIP
211 NORTH ROBINSON
OKLAHOMA CITY, OK 73102

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RECORDATION DATE: 06/21/2001

REEL/FRAME: 011931/0202
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
HARRIS, GERALD R.

DOC DATE: 06/07/2001

ASSIGNOR:
NEALL, TIMOTHY M.

DOC DATE: 06/07/2001

ASSIGNEE:
HEM, INC.
POST OFFICE BOX 1148
PRYOR, OKLAHOMA 74362

SERIAL NUMBER: 09885176
PATENT NUMBER:

FILING DATE: 06/21/2001
ISSUE DATE:

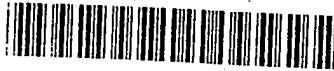
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ASSIGNMENT DIVISION
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07-02-2001

Form PTO-1595
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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101765270

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gerald R. Harris

Timothy M. Neall

06/21/01

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 7, 2001

2. Name and address of receiving party(ies)

Name: HEM, Inc.

Internal Address: _____

Street Address: Post Office Box 1148

City: Pryor State: OK Zip: 74362

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

09/885176

If this document is being filed together with a new application, the execution date of the application is: 6/7/01

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Neal R. Kennedy

Internal Address: McAfee & Taft

Street Address: 10th Floor, 2 Leadership

211 North Robinson

City: Oklahoma State: OK Zip: 73102
City6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account
any deficiency in enclosed fees

8. Deposit account number:

500449

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Neal R. Kennedy

Name of Person Signing

Signature

June 18, 2001

Date

Total number of pages including cover sheet, attachments, and documents: ☒ 3Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

JUN 21 2001 JUEL:IP 0651-0027 09885176

101765270

40.00 SF

ASSIGNMENT

WHEREAS, we, Gerald R. Harris of Post Office Box 1148, Pryor, Oklahoma, and Timothy M. Neall of Route 3, #4 Sycamore Lane, Pryor, Oklahoma, both citizens of the United States of America, have invented a certain new and useful improvement in ADJUSTABLE SHUTTLE STOP APPARATUS, for which application for United States Letters Patent is being filed concurrently herewith, preparatory to obtaining a United States Letters Patent therefor; and

WHEREAS, HEM, Inc., a corporation duly organized and existing under and by virtue of the laws of the State of Oklahoma, and having a mailing address of Post Office Box 1148, Pryor, Oklahoma 74362, is desirous of acquiring all our right, title and interest in and to said invention in ADJUSTABLE SHUTTLE STOP APPARATUS, above-identified, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, Gerald R. Harris and Timothy M. Neall, have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said HEM, Inc., the full and exclusive right to said invention in the United States of America and its territorial possessions and in all foreign countries and the entire right, title and interest in and to said application and in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all division(s), reissue(s), reexamination(s), continuation(s) and extension(s) thereof.

We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to said HEM, Inc., as the assignee of our entire right, title and interest in and to the same, for the sole use and enjoyment of said HEM, Inc., its successors and assigns.

FURTHER, we agree that we will communicate to said HEM, Inc., or its representatives, any facts known to us respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all division, continuation, substitution, renewal, reissue and reexamination applications, execute all necessary assignment papers to cause any and all of said Letters Patent to

be issued to said HEM, Inc., its successors and assigns, make all rightful oaths and generally do everything necessary or desirable to aid said HEM, Inc., its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

Gerald R. Harris
Gerald R. Harris

6-7-01
Date

STATE OF OKLAHOMA)
) ss.
COUNTY OF MAYES)

Before me, a Notary Public in and for said County and State, on this 7 day of June, 2001, personally appeared Gerald R. Harris, to me known to be the identical person who executed the foregoing Assignment and acknowledged to me that he executed the same as his free and voluntary act and deed for the purposes therein set forth.

Barrid Carlson
Notary Public

My Commission Expires:

10/9/03

Timothy M. Neall
Timothy M. Neall

07 June, 01
Date

STATE OF OKLAHOMA)
) ss.
COUNTY OF MAYES)

Before me, a Notary Public in and for said County and State, on this 7 day of June, 2001, personally appeared Timothy M. Neall, to me known to be the identical person who executed the foregoing Assignment and acknowledged to me that he executed the same as his free and voluntary act and deed for the purposes therein set forth.

Barrid Carlson
Notary Public

My Commission Expires:

10/9/03

ASSIGNMENT

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We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to said HEM, Inc., as the assignee of our entire right, title and interest in and to the same, for the sole use and enjoyment of said HEM, Inc., its successors and assigns.

FURTHER, we agree that we will communicate to said HEM, Inc., or its representatives, any facts known to us respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all division, continuation, substitution, renewal, reissue and reexamination applications, execute all necessary assignment papers to cause any and all of said Letters Patent to

be issued to said HEM, Inc., its successors and assigns, make all rightful oaths and generally do everything necessary or desirable to aid said HEM, Inc., its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

Gerald R. Harris
Gerald R. Harris

6-2-01
Date

STATE OF OKLAHOMA)
) ss.
COUNTY OF MAYES)

Before me, a Notary Public in and for said County and State, on this 7 day of June, 2001, personally appeared Gerald R. Harris, to me known to be the identical person who executed the foregoing Assignment and acknowledged to me that he executed the same as his free and voluntary act and deed for the purposes therein set forth.

Barbara Carlson
Notary Public

My Commission Expires:

10/9/03

Timothy M. Neall
Timothy M. Neall

07 June, 01
Date

STATE OF OKLAHOMA)
) ss.
COUNTY OF MAYES)

Before me, a Notary Public in and for said County and State, on this 7 day of June, 2001, personally appeared Timothy M. Neall, to me known to be the identical person who executed the foregoing Assignment and acknowledged to me that he executed the same as his free and voluntary act and deed for the purposes therein set forth.

Barbara Carlson
Notary Public

My Commission Expires:

10/9/03